#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Doyle W. Eakin

Serial No.: Not available Filed: September 4, 2003 Group No.: Examiner:

Not available Not available

For: Plant Pot Drainage Tray

Mail Stop PATENT APPLICATION **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

> TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. 1.97(b))

### IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

Reg. No. 39,014

Tel. No.: (559) 447-1862

Customer No.: 29762

Richard A. Ryan

Ryan & Engnath

8469 N. Millbrook Ave., Suite 104

Fresno, CA 93720

#### CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10

I hereby certify that, on the date shown below, this correspondence is being:

#### **MAILING**

deposited with the United States Postal Service in an envelope addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. 1.8(a)

☐ with sufficient postage as first class mail.

37 C.F.R. 1.10\*

as "Express Mail Post Office to Addressee"

Mailing Label No. EU713645335US

Richard A. Ryan, PTO#

(type or print name of person certifying)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Doyle W. Eakin

Serial No.: Not available Filed: September 4, 2003 For: Plant Pot Drainage Tray

Group No.: Not available Examiner: Not available

Mail Stop PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

## List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

- 1. Preliminary Statements
- 2. Forms PTO/SB/08A (substitute for Form PTO-1449)
- 3. Copies of Listed Information Items Accompanying This Statement
- 4. Identification of Person(s) Making This Information Disclosure Statement

## CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\*

I hereby certify that, on the date shown below, this correspondence is being:

#### MAILING

deposited with the United States Postal Service in an envelope addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. 1.8(a)

with sufficient postage as first class mail.

TRANSMISSION

transmitted by facsimile to the Patent and Trademark Office.

Signature

Date: Property Signature

Richard A. Ryan, PTO # 39,014

(type or print name of person certifying)

(Information Disclosure Statement—page 1 of 4)

## Section 1. Preliminary statements

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

## Section 2. Forms PTO/SB/08A (substitute for Form PTO-1449)

Inventor: Doyle W. Eakin (Information Disclosure Statement—page 2 of 4)

Please type a plus sign (+)	inside this box →	+
-----------------------------	-------------------	---

PTO/SB/08A (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

		_	_	_	_			-
Sheet	1					of	1	

Complete if Known						
Application Number						
Filing Date	09/04/2003					
First Named Inventor	Doyle W. Eakin					
Group Art Unit						
Examiner Name						
Attorney Docket Number	RAR471.01					

				U.S. PATENT DOC	JMENTS		
Examiner Initials*	Cite No.1	- Kind Codo2		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1	2002/003580	3 A1	Dummen	03/28/2002		
	2	6,510,653	B1	Workum, et al.	01/28/2003		
	3	6,497,071	B1	Main, et al.	12/24/2002		
	4	6,385,899	B1	Treganza	05/14/2002		
	5	6,289,632	B1	Boling	09/18/2001		
	6 _	6,279,265	B1	Scannell, Jr.	08/28/2001		
	7	6,125,580		Fan	10/03/2000		
	8	5,448,854		Hirsch, et al.	09/12/1995		
	9	5,379,548		Peterson	01/10/1995		
	10	5,299,384		Andrews	04/05/1994		
	11	5,220,745		Elliott, et al.	06/22/1993		
	12	5,125,184		Anderson	06/30/1992		
	13	5,062,239		Helton	11/05/1991		
	14	5,052,149		Johnson	10/01/1991		
	15	4,204,367		Cone	05/27/1980		
	16	4,183,176		Barfield	01/15/1980		

				FORE	IGN PATENT DOCUMENT	rs		
Examiner Cite Initials No.1	Cito	F	oreign Patent Do			Date of Publication of	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> ; (if known)		Cited Document MM-DD-YYYY		T6
								$oldsymbol{oldsymbol{\sqcup}}$
		<u> </u>						
								$\perp$
		$oxed{oxed}$		$\longrightarrow$				$\downarrow$
		l						

	Auto-	
Examiner	Date	
Signature	Considered	

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# Section 3. Copies of Listed Information Items Accompanying This Statement

Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement.

## Section 4. Identification of Person Making This Information Disclosure Statement

The person making this certification is the practitioner who signs below on the basis of the information supplied by the inventor(s) and in the practitioner's file.

Reg. No.: 39,014

Tel. No.: (559) 447-1862

Customer No.: 29762

Inventor: Doyle W. Eakin

Richard A. Ryan

Ryan & Engnath

8469 N. Millbrook Ave., Suite 104

Fresno, CA 93720